Manulife Investment Management
宏利投資管理

MANULIFE HONG KONG SERIES – MANULIFE DIRECT SAVER FORM
宏利香港系列－宏利投資儲蓄計劃表格

NOTE 注意：

- Before completing this form, please refer to the “Notes and Instructions” on page 5 of this form. 填寫本表格前，請先參閱第五頁的「備註及指引」。
- Please complete in English BLOCK LETTERS. For assistance, please call: (852) 2108 1110. 請以英文正楷填寫本表格。如需協助，請致電：(852) 2108 1110。
- Please return this completed form to **Manulife Investment Management (Hong Kong) Limited**, 23/F., Manulife Tower, One Bay East, 83 Hoi Bun Road, Kwun Tong, Kowloon, Hong Kong or by fax to (852) 2810 9510 (Fax does not apply to new Manulife Direct Saver Application). 請將已填妥的表格交回**宏利投資管理（香港）有限公司**，香港九龍觀塘海濱道83號宏利大樓23樓或傳真至(852) 2810 9510（傳真不適用於宏利投資儲蓄計劃新申請）。

1. UNITHOLDER ACCOUNT INFORMATION 單位持有人帳戶資料

Unitholder Account Number (For existing account only) 單位持有人帳戶編號（只適用於現有帳戶）：____________________

Account Holder(s)' Full Name(s) 帳戶持有人全名

1. ____________________ 2. ____________________

HKID/Passport Number 香港身份證/護照號碼

1. ____________________ 2. ____________________

Contact Number 聯絡電話號碼：____________________

Fax Number 傳真號碼：____________________

E-mail Address 電郵地址：____________________

☐ Manulife Direct Saver Application (Please also complete and submit the original “Manulife Direct Saver – Direct Debit Authorization Form) 申請宏利投資儲蓄計劃（請同時填寫及遞交「宏利投資儲蓄計劃－直接付款授權書表格」正本）

☐ Manulife Direct Saver Cancellation 取消宏利投資儲蓄計劃

☐ Manulife Direct Saver Update (this will override the existing monthly investment allocation in your account) 更新宏利投資儲蓄計劃（這將取代您帳戶內現有的每月投資分配）

1a. Does any holder of the above account belong to one or all of the following two groups? (Please tick the appropriate box(es) below) **上述帳戶任何一位持有人是否屬於下列兩類人士的其中一類或全部？**（請剔選合適的方格）

☐ aged above 64; and/or 64歲以上；或/及

☐ highest educational qualification is primary school or below 教育程度是小學或以下

No 否 → Please go to page 2. 請到第2頁。

Yes 是 → Please complete Part 1b below. 請填妥下方1b部分。

Note 註： When performing suitability assessment in respect of a joint account, we have regard only to the Risk Profile Questionnaire completed by the Designated Client for the account. If this form is solely signed by the Designated Client who does not belong to the two groups stated in Part 1a of this form, the procedures in Part 1b of this form are waived in view of the Designated Client's duties to make investment decisions in the best interests of all the joint holders of the account pursuant to the Terms of the Client Agreement with Manulife Investment Management (Hong Kong) Limited. 就聯名帳戶履行適合性評估時，本公司僅考慮由指定客戶為該帳戶填妥的風險取向問卷。若此表格只由指定客戶簽署，而他並不屬於此表格1a部分所指的兩類人士，根據宏利投資管理（香港）有限公司客戶協議的條款，由於指定客戶的職責是以帳戶的所有聯名持有人之最佳利益作出投資決定，此表格1b部分的程序可獲豁免。

1b. Please tick the appropriate box and fill in the applicable blanks to complete this part. 請於此部分剔選其中一個合適的方格，並於適當的空白位置填入相關資料。

☐ I/We have had the process of this application audio recorded as arranged by Manulife Financial Planning Manager. 本人/吾等在是次申請之過程已在宏利理財策劃經理的安排下以錄音記錄存檔。

☐ I/We have been accompanied by my/our trusted relative / friend (please cross out as appropriate) who has completed and signed below to confirm his/her presence throughout this application process. I/We confirm that my/our trusted relative is my/our ____________________ (please write either “not applicable” or the relationship, e.g. spouse, son, daughter, and ensure your trusted relative or friend complete and sign this part.) 本人/吾等在本人/吾等信任的親屬/友人（請刪去不適用者）陪同下完成本人/吾等是次申請過程。上述陪同者於以下已填寫及簽署確認陪同之事實。本人/吾等茲確認本人/吾等所信任的親屬為本人/吾等的____________________（請填上「不適用」或註明關係，例如：配偶 、兒子、女兒，並確定您所信任的親屬或友人填寫及簽署此部分）

☐ I confirm having accompanied the applicant(s) throughout this application process and declare that I am aged between 18 and 64 with educational qualification of secondary school or above. I also confirm that I have no conflicts of interest in relation to the transaction being applied for. 本人茲確認曾陪同申請人完成是次申請之整個過程，並申明本人之年齡屆乎18至64歲，教育程度達中學或以上。本人亦確認在此申請中的交易，本人並沒有任何利益衝突。

Full Name of the Relative / Friend:
親屬/友人全名：____________________

Signature of the Relative / Friend:
親屬/友人簽署：____________________

Please go to page 2. 請到第2頁。

Note 註：Please refer to “Suitability” part in the “Notes and Instructions” section of this form about the arrangement of pre-trade telephone call confirmation. 請參閱本表格「備註及指引」部份「適合性評估」一節，關於交易前的電話確認安排。

2. SUBSCRIPTION DETAILS AND SUITABILITY CHECK TABLE 認購詳情及適合性檢查表

For Manulife Use only 宏利專用（FEL）

Risk Profile Based on the Last Completed Risk Profile Questionnaire 最近完成之「風險取向問卷」中的風險取向

Important Note: Please ensure the Fund Risk Level of your selected Fund(s) does not exceed your Risk Profile. For details, please refer to "Suitability" part in the "Notes and Instructions" section.
重要提示：請確保您所選擇的每一項基金的基金風險級別不高於您的風險取向。詳情請參閱「備註及指引」部分「適合性評估」一節。

Risk Profile: 1 = Low Risk Profile; 2 = Low to Medium Risk Profile; 3 = Medium Risk Profile; 4 = Medium to High Risk Profile; 5 = High Risk Profile
風險取向：1 = 低風險取向； 2 = 低至中風險取向； 3 = 中風險取向； 4 = 中至高風險取向； 5 = 高風險取向

Monthly Investment Amount – Minimum HK$1,000 per Fund 每月投資金額 – 每項基金最低為一千港元	Fund Name 基金名稱	Class 類別			Fund Risk Level# 基金風險級別#	Risk Deviation* 風險偏差*	
		USD 美元	HKD 港元	RMB 人民幣			
	Manulife Capital Conservative Fund 宏利資本穩健基金	☐ AA (USD) Inc AA（美元）收益 ☐ AA (USD) Acc AA（美元）累積	☐ AA (HKD) Inc AA（港元）收益		2		
	Manulife Global Dynamic Asset Allocation Fund 宏利環球動態資產配置基金	☐ AA (USD) Inc AA（美元）收益 ☐ AA (USD) Acc AA（美元）累積	☐ AA (HKD) Inc AA（港元）收益	☐ AA (RMB) Inc AA（人民幣）收益 ☐ AA (RMB) Inc Hedged AA（人民幣）對沖收益	3		
	Manulife STARS Income and Growth Fund 宏利STARS收益及增長基金	☐ AA (USD) Inc AA（美元）收益 ☐ AA (USD) Acc AA（美元）累積	☐ AA (HKD) Inc AA（港元）收益		3		
Total 總額：	* Risk Deviation = Risk Profile minus (–) Fund Risk Level 風險偏差 = 風險取向減以(–)基金風險級別 # Please visit www.manulifefunds.com.hk and go to the "Announcements" section for details of the Fund Risk Level. The Fund Risk Level is for reference only and should not be relied upon as investment advice. 請瀏覽網頁 www.manulifefunds.com.hk 並進入「通告」部份閱覽基金風險級別的詳情。基金風險級別只供參考，不應依賴作為投資建議。						

3. SOURCE(S) OF FUNDS 款項來源

☐ Savings 儲蓄
☐ Wages 受僱收入
☐ Self-Employment Income 自僱收入
☐ Investment income 投資收入
☐ Sale of Asset 出售資產
☐ Gift or Inheritance 饋贈或遺產
☐ Settlement of insurance 保險收款
☐ Business Profit 商業利潤

☐ Other (please specify) 其他(請註明) ____________________

Please tick the appropriate box to indicate the percentage of your total liquid assets invested in Manulife Funds, including the total subscription amount per month x 12 in this application (Liquid assets refer to cash and other assets which may be easily converted into cash and exclude real estate) 請在適當方格填上剔號以表示您投資於宏利基金(包括是次申請的每月認購金額 x 12 的乘積)的總值佔您的總流動資產的百分比(流動資產即現金及可輕易轉為現金的其他資產,但不包括房地產):

☐ a. below 20% 以下
☐ b. 20% - 40%
☐ c. 41% - 60%
☐ d. 61% - 80%
☐ e. above 80% 以上

It is important for you to indicate the correct percentage for our assessment on whether the concentration level of your investment in Manulife Funds is appropriate. We encourage you to consider the concentration risk involved before making an investment decision. Please note that our standard protocol is to reject subscription applications which in our judgment would expose our clients to very high concentration risk. 您在此表明投資於宏利基金集中程度的正確百分比,對於本公司能評估您的投資是否合適是很重要的。在作出投資決定前,您應考慮所涉及的集中風險。請注意,當本公司判斷客戶將承受非常高的集中風險時,本公司的準則是拒絕該項的認購申請。

4. DISCLOSURE OF TRANSACTION RELATED INFORMATION 披露交易相關資料

a. Capacity and Affiliation 身分與聯繫

Manulife Investment Management (Hong Kong) Limited is a distributor of Manulife Hong Kong Series and also an investment manager of certain funds of Manulife Hong Kong Series. Manulife Investment Management (Hong Kong) Limited is NOT an independent intermediary because of its close links and other legal and economic relationships with Manulife Hong Kong Series. 宏利投資管理(香港)有限公司是宏利香港系列的分銷商,亦是宏利香港系列某些基金的投資管理人。由於宏利投資管理(香港)有限公司與宏利香港系列有著密切的聯繫,以及其他法律及經濟的關係,故它並非獨立中介人。

b. Monetary Benefits 金錢收益

- In respect of Class AA units, Manulife Investment Management (Hong Kong) Limited will receive (i) an initial charge of up to 5% of the subscription amount, and (ii) a switching charge of up to 1% of the redemption price, and (iii) no redemption charge is levied at present. 就AA類別而言,宏利投資管理(香港)有限公司可收取(i)最高為認購金額的5%的初始收費,和(ii)最高為應付贖回價的1%的轉換費,以及(iii)目前沒有徵收贖回費。
- Manulife Investment Management (Hong Kong) Limited will receive from Manulife Hong Kong Series up to 33% of a fund's annual management fee as ongoing trailer fee every year throughout the term of an investor's investment in that fund. 當投資者持續投資於宏利香港系列某基金,宏利投資管理(香港)有限公司可從該基金的年度管理費中每年收取最高為33%之後續費。
- The above charges and trailer fee will be paid partially or wholly by Manulife Investment Management (Hong Kong) Limited to its in-house agents who are licensed by the Securities and Futures Commission, in respect of Manulife Hong Kong Series's investors served by such agents. 宏利投資管理(香港)有限公司可將上述收費及後續費部分或全數支付予獲證券及期貨事務監察委員會發牌並隸屬於宏利投資管理(香港)有限公司的內部代理人,此基於該些代理人向宏利香港系列投資者提供服務。

c. Discount of Charges 收費折扣

Manulife Investment Management (Hong Kong) Limited may offer a discount on the initial charge to investors of Manulife Hong Kong Series from time to time in accordance with the terms and conditions in the applicable promotion material. In general circumstances at present, an initial charge discount (if any) can be up to 3.5% of the subscription amount. 宏利投資管理(香港)有限公司可按適用的推廣資料所載條款及細則,不時向宏利香港系列投資者提供初始收費折扣。在目前一般情況下,初始收費折扣(如有)最高可達認購金額的3.5%。

5. APPLICANT(S)' DECLARATIONS AND SIGNATURE(S) 申請人聲明及簽署

a. I/We have received and read and understood the offering document (including Prospectus and Product Key Facts) and the latest published financial reports of Manulife Hong Kong Series and undertake to be bound by the terms of the offering document and Trust Deed of Manulife Hong Kong Series as amended from time to time. 本人/吾等已收訖、閱畢及知悉宏利香港系列的發售文件（包括售股章程及產品資料概要）及最新公布之財務報告，並且接受宏利香港系列不時修訂之發售文件及信託契約之條款約束。

b. I/We consent to complete and return the Risk Profile Questionnaire at Manulife Investment Management (Hong Kong) Limited's request if my/our answers above are inconsistent with Manulife Investment Management (Hong Kong) Limited's existing record. 倘本人/吾等以上提供的答案與宏利投資管理（香港）有限公司之現有記錄有所不符，本人/吾等同意在宏利投資管理（香港）有限公司的要求下完成並遞交「風險取向問卷」。

c. I/We have read and understood the "Disclosure of Transaction Related Information' of this form prior to my/our signing this completed form. 本人/吾等在簽署填妥本表格前，已閱覽及明白本表格的「披露交易相關資料」。

d. I/We understand that the provision of information in this form is voluntary for the purpose of my/our current application for Manulife Direct Saver. If I/we fail to provide the information, my/our current application will not be accepted. 本人/吾等明白於本表格上提供資料為處理是次宏利投資儲蓄計劃申請乃屬自願。倘本人/吾等未能提供有關資料，本人/吾等是次之申請將不獲受理。

e. I/We acknowledge and agree that the information collected from me/us in this form, together with any subsequent alterations or supplements to it, is being collected on behalf of Manulife Hong Kong Series and Manulife Investment Management (Hong Kong) Limited. I/we further acknowledge and confirm that I/we have received and read the Personal Information Collection Statement attached as Schedule I to the Client Agreement entered into between me/us and Manulife Investment Management (Hong Kong) Limited (the "Client Agreement") (as amended from time to time). I/We understand and agree that the information collected from me/us in this form, together with any subsequent alterations or supplements to it, can be used and/or transferred to any of the transferees in accordance with any of the uses and purposes (including in relation to direct marketing) as described in the Personal Information Collection Statement. 本人／吾等確認及同意從本人／吾等在本表格上收集的資料及日後之任何改動或補充，乃代表宏利香港系列及宏利投資管理（香港）有限公司所收集。本人／吾等進一步確認及確定，本人／吾等已收訖及閱畢與宏利投資管理（香港）有限公司簽定的客戶協議中，附表一所載的個人資料收集聲明（「客戶協議」）（不時作出修訂）。本人／吾等理解及同意從本人／吾等在本表格上收集的資料及日後之任何改動或補充，可根據個人資料收集聲明內所描述的使用及目的（包括直接促銷）被使用及／或轉交予任何受讓人。

f. I/We have a right to request access to and correction of my/our personal data by writing to the Privacy Officer, Manulife Investment Management (Hong Kong) Limited, 23/F., Manulife Tower, One Bay East, 83 Hoi Bun Road, Kwun Tong, Kowloon, Hong Kong. 本人/吾等可致函香港九龍觀塘海濱道 83 號宏利大樓 23 樓宏利投資管理（香港）有限公司個人資料主任，要求查閱及更改本人/吾等的個人資料。

g. Please tick the box below if the statement next to it truly reflects your circumstance. 若以下陳述真確反映您的情況，請在其旁的方格填上剔號。

☐ I/We apply for this monthly subscriptions under Manulife Direct Saver Plan solely based on my/our judgment, without receipt of any solicitation or recommendation by Manulife Investment Management (Hong Kong) Limited's Financial Planning Manager, who did not provide me/us with any market or fund specific information or give any answer to my/our questions about which market(s) or fund(s) is/are good for investment when I/we considered this application. 本人/吾等申請是次宏利投資儲蓄計劃下的每月認購僅出於本人/吾等的判斷，並沒有收到宏利投資管理（香港）有限公司理財策劃經理之任何招攬或建議。在本人/吾等考慮是次申請時，宏利投資管理（香港）有限公司投資基金中介人沒有向本人/吾等提供任何特定市場或基金的資料，亦沒有就本人/吾等提出關於哪些市場或基金適合投資的問題上給予任何答案。

Note 註：Solicitation or recommendation does not include giving generic advice unconnected with subscription or switching to a fund, e.g. the importance of investment diversification, the concept of dollar cost averaging. 招攬或建議並不包括與基金認購或轉換不相關的一般意見，例如分散投資的重要、平均成本概念。

I/We confirm that I/we fully understand and accept the associated risk and return of the Fund(s) chosen by me/us since it is as likely that losses will be incurred as profit made as a result of buying and selling investment funds. 本人/吾等明白投資基金買賣可賺亦可蝕，並確認本人/吾等明白及接受本人/吾等所選基金的附帶風險及回報。

Signature 簽署：

S.V. S.V.

First Holder 第一持有人

Second Holder 第二持有人

Full Name of First Holder 第一持有人全名

Full Name of Second Holder 第二持有人全名

Date 日期（DD 日/MM 月/YYYY 年）：__________

Date 日期（DD 日/MM 月/YYYY 年）：__________

For Manulife Use 宏利專用	AGT	CA	RED	VC	FEL	FF	PC

NOTES AND INSTRUCTIONS 備註及指引

1. General Information 一般資料

- The term Fund(s) in this form means one or more fund(s) of Manulife Hong Kong Series. 在此表格中「基金」一詞意旨宏利香港系列旗下的一項或多項基金。
- Please use the prescribed forms available on www.manulife.com.hk. 請使用www.manulife.com.hk提供之指定表格發出指示。
- The Manulife Direct Saver ("Direct Saver") is a savings plan designed to enable unitholders to invest regularly in units, with no extra charges payable for participating in the Direct Saver. Units of Classes AA (USD) Inc, AA (USD) Acc, AA (HKD) Inc, AA (RMB) Inc and AA (RMB) Inc Hedged are currently available to the Direct Saver provided that conditions of the below minimum initial subscription amount and minimum subsequent subscription amount are fulfilled. 宏利投資儲蓄計劃(「投資儲蓄計劃」)使單位持有人能定期投資單位又毋須為參與投資儲蓄計劃而支付額外費用。AA(美元)收益、AA(美元)累積、AA(港元)收益、AA(人民幣)收益及AA(人民幣)對沖收益類別均可提供給投資儲蓄計劃，但須符合以下的最低初始認購額及最低其後認購額之要求。
- Under the Direct Saver, both minimum initial subscription amount and minimum subsequent subscription amount for each Class are HK$1,000, irrespective of the Currency of Denomination of the Class. A Direct Saver application for subscription to units of a Class cannot be processed if the unitholder account has no existing holding in the same Class on the date of application, unless the unitholder simultaneously applies for subscription to the same Class by completing the Subscription Request Form and making a cheque, bank draft, cashier order or HK CHATS payment that meets the above minimum initial subscription amount requirement. Please note that the minimum initial subscription amounts stated in the Subscription Request Form are not applicable to an initial subscription under the Direct Saver. 在投資儲蓄計劃下，每類別(不論計價貨幣)的最低初始認購額及最低其後認購額均為1,000港元。若單位持有人帳戶在投資儲蓄計劃申請日未持有與申請認購的相同類別，則在投資儲蓄計劃下該類別單位的認購申請將不能處理，除非單位持有人同時填妥認購表格申請認購相同類別，並且符合上述最低初始認購額的要求，以支票、銀行匯票、本票或香港自動結算轉賬系統付款。請注意，認購表格中規定的最低初始認購額不適用於投資儲蓄計劃下的初始認購。
- Currently an initial charge of up to 5% of the initial offer price or NAV per unit will be levied. If the subscription amount in Hong Kong Dollars needs to be converted to another Currency of Denomination, such conversion will be at the prevailing market rate. The proceeds of conversion after deduction of the costs borne by the unitholder(s) will be applied to the subscription of units in the relevant Class. 現時初始收費最高為首次發售價或每單位資產淨值的5%。若港元的認購款項需兌換成另一計價貨幣，該兌換將按市場匯率辦理。經扣除單位持有人承擔的匯兌成本後，兌換所得款項將用作認購有關類別的單位。
- The Direct Saver is available only to unitholders who has a bank account in Hong Kong and direct debit arrangements can only be made in Hong Kong Dollars. 投資儲蓄計劃僅向持有香港銀行帳戶的單位持有人提供，而直接付款安排只可以港元支付。
- An application for the Direct Saver will be rejected if direct debit authorization cannot be established with the unitholder(s)' bank account. 若直接付款授權不能在單位持有人的銀行辦妥，投資儲蓄計劃的申請將被拒絕。
- After the successful application of Direct Debit Authorization, the Direct Saver subscription money will be monthly debited from the unitholder(s)' bank account, normally commencing in or not earlier than the second month after the application is submitted. For example, if the application is submitted in August, the first direct debit day would be in October of the same year. 當直接付款授權申請成功，投資儲蓄計劃下的認購付款將每月從單位持有人的銀行賬戶直接扣賬，這通常在遞交申請後第二個月或不早於第二個月開始。舉例：若申請於8月遞交，直接付款將由同年的10月開始。
- Direct debit under the Direct Saver will be effected usually on the 2nd Business Day of each month or such other day as practicable. Units will be allotted to the unitholder(s) after the funds are received and cleared in the bank account of Manulife Hong Kong Series (normally on the 7th Dealing Day which is also a Business Day). 投資儲蓄計劃的直接付款通常在每月第二個營業日(或另一可行日期)完成。單位會在收到款項並結算至宏利香港系列之銀行賬戶(通常在第七個同時是營業日的交易日)後分配予單位持有人。
- Unitholder must submit Direct Debit Authorization Form in original for any change of the details of bank direct debit authorization. Otherwise, the Direct Saver subscription may be suspended or cancelled.更改銀行直接付款授權之任何詳情，單位持有人須遞交直接付款授權書表格正本。否則，投資儲蓄計劃下的認購可被中止或取消。
- Change of direct debit bank account will normally take effect two months after the application is received. For example, if the application is submitted in August, the earliest direct debit from the new bank account would be in October of the same year. If an application for change of direct debit bank account is received by 4:00 p.m. on the last Business Day of the current month, direct debit from the unitholder's old bank account will be discontinued next month. 更改直接付款銀行帳戶通常在收到申請之後兩個月生效。舉例：若申請於8月遞交，最早從新銀行帳戶直接付款可開始於同年的10月。在本月的最後一個營業日下午四時前收到的申請，將在下月終止從單位持有人的舊銀行帳戶作直接付款。
- Update or cancellation of monthly investment allocation will take effect on the next Direct Saver subscription day if the written instruction is received by 4:00 p.m. on the last Business Day of the current month. 若更新或取消每月投資分配的書面指示在本月的最後一個營業日下午四時前收到，指示將在下月的投資儲蓄計劃認購日生效。
- The Direct Saver will continue in respect of a Class even if the units in such a Class are being fully redeemed and/or switched out, unless the unitholder(s) request to cancel the Direct Saver by submitting the Manulife Direct Saver Form. 除非單位持有人遞交宏利投資儲蓄計劃表格，要求取消投資儲蓄計劃，否則即使一類別的單位被全部贖回及／或轉出，該類別的投資儲蓄計劃仍會繼續。
- The Direct Saver will be terminated if the direct debit authorization arrangement subsequently fails due to the reason stated in a written notification to the unitholder(s). 若直接付款授權其後無法實行(根據致單位持有人的書面通知中所述之理由)，投資儲蓄計劃將會終止。
- Manulife Investment Management (Hong Kong) Limited or the Trustee of Manulife Hong Kong Series reserves the right to reject an application in whole or in part, if the application and required documents are incomplete or invalid. 若申請及所需文件不完整或無效，宏利投資管理(香港)有限公司或宏利香港系列的託管人保留權利拒絕全部或部份申請。
- Unitholder(s) will be responsible for any expense or loss incurred as a result of the establishment, change or termination of the direct debit authorization and the suspension or cancellation of the Direct Saver subscription due to any of the above mentioned circumstances. 由於設立、更改或終止直接付款授權及因上述任何情況導致中止或取消投資儲蓄計劃下的認購，單位持有人將負責所引致的任何開支或損失。
- Unitholder(s) may fax Manulife Direct Saver Form without the need to send the original (except for new Manulife Direct Saver application and Direct Debit Authorization Form). No responsibility will be accepted by Manulife Hong Kong Series or Manulife Investment Management (Hong Kong) Limited for any loss arising from non-receipt or duplication of any facsimiled instructions. Please therefore clearly state the word "revised" and the revision date in the revised instructions. 單位持有人可以傳真發送宏利投資儲蓄計劃表格而毋須提交正本(投資儲蓄計劃新申請及直接付款授權書表格除外)。對於因未收到或重複提交之傳真指示而引致之任何損失，宏利香港系列或宏利投資管理(香港)有限公司概不負責。故請於指示修訂本內清楚註明「修訂」字眼及修訂日期。
- Each participant in the Direct Saver will receive monthly statements which show the opening and closing balance of the participant's holdings and the latest transaction details. 投資儲蓄計劃的各參與者將收到顯示其持有量的期初和期末結餘及最新交易詳情的月結單。
- When selecting Fund(s) for investment, if at any point of time you are in doubt whether any of the Fund(s) is/are suitable for you, you should seek independent advice and refer to the offering document of Manulife Hong Kong Series. 在選擇基金作為投資時，若於任何時刻您對任何基金是否適合您有任何疑問，您應徵詢獨立意見及參閱宏利香港系列的發售文件。

2. Suitability 適合性評估

The Suitability Check Table helps to assess whether your application for Direct Saver subscription to one or more fund(s) of Manulife Hong Kong Series is consistent with your Risk Profile. 適合性檢查表有助您評估申請投資儲蓄計劃下認購宏利香港系列一項或以上的基金與您的風險取向是否一致。

- If you have not sent us your completed Risk Profile Questionnaire within the past 12 months, please contact your Manulife Financial Planning Manager or download it from our website at www.manulife.com.hk or contact our Customer Service Hotline on (852) 2108 1110. Without knowing your Risk Profile, you will not be able to complete the Suitability Check Table and we will not be able to process your Direct Saver subscription. 若您於過去十二個月內未曾交回填妥之「風險取向問卷」，請聯絡您的宏利理財策劃經理，或由本公司網站 (www.manulife.com.hk) 下載該問卷，或可致電客戶服務熱線(852) 2108 1110索取。若不知悉您的風險取向，將不能填寫「適合性檢查表」，本公司並將不能處理您在投資儲蓄計劃下的認購。
- If you have sent us your completed Risk Profile Questionnaire within the past 12 months, you may use your last Risk Profile, or check our record by contacting our Customer Service Hotline on (852) 2108 1110, to complete the Suitability Check Table. 若您於過去十二個月內曾交回填妥之「風險取向問卷」，則可於填寫「適合性檢查表」時填上您最近的風險取向，或可致電客戶服務熱線(852) 2108 1110查詢本公司的記錄。
- To afford investor protection as a high priority, we have discretion to partially or fully decline your Direct Saver application and, where needed, return your submitted Manulife Direct Saver Form under the following conditions: 在保障投資者利益為先的大前提下，本公司於以下情況可能酌情拒絕部份或全部您的投資儲蓄計劃申請，如有需要，並將退回您已遞交之宏利投資儲蓄計劃表格：
  1. if a pre-trade telephone confirmation is required and you cannot be reached; or 如有必要在交易前作電話確認及本公司未能與您聯絡；或
  2. if you cannot verbally confirm your understanding of the content of your completed Part 1b during the pre-trade call. 如您於交易前的電話確認中未能口頭上確認您清楚明白已填妥之1b部分的有關內容。
- If your Direct Saver application is rejected for any of the above reasons or your standing instruction of Direct Saver subscription is rejected due to Negative Risk Deviation (i.e. the Fund Risk Level exceeds your Risk Profile), Manulife Investment Management (Hong Kong) Limited and the Trustee of Manulife Hong Kong Series shall not be liable for any loss incurred arising from such rejection. For enquiries, please contact your Manulife Financial Planning Manager or our Customer Service Hotline. 若因以上任何原因而拒絕您的投資儲蓄計劃申請或因風險偏差負數（即基金風險級別高於您的風險取向）而拒絕您在投資儲蓄計劃下的認購常行指示，宏利投資管理（香港）有限公司及宏利香港系列的託管人將不會負責任何因此等拒絕而引致的損失。如有任何查詢，請聯絡您的宏利理財策劃經理或客戶服務熱線。

3. Notes and Instructions 備註及指引

Please submit the following document(s) together with a completed Manulife Direct Saver Form in case you have not submitted such document(s). Additional document(s) may be required subject to account status. You may check our record by contacting your Manulife Financial Planning Manager or call (852) 2108 1110. 請一併提交已填妥的宏利投資儲蓄計劃表格及以下文件（如您以往並未提交；因應帳戶狀況，或需提供額外文件）。請聯絡您的宏利理財策劃經理或致電(852) 2108 1110查詢您在本公司的記錄。

- Original of completed "Client Agreement" signed by all account holder(s) 所有帳戶持有人已填妥並簽署的「客戶協議」正本
- Certified true copy[1] of Hong Kong Permanent Identity Card or photo page of valid passport of all account holder(s) 所有帳戶持有人的香港永久居民身份證或有效護照照片頁認證副本[1]
- Original or certified true copy of residential address proof[2] issued within the last 3 months of all account holder(s) 所有帳戶持有人的最近三個月內發出的住址證明[2]正本或認證副本
- Original of completed "Individual/Controlling Person Self Certification Form (FATCA and CRS)[3]" of all account holders 所有帳戶持有人的已填妥的「個人／控權人士自行證明書（FATCA及CRS）[3]」正本

[1] Suitable certifier to certify copies of documents 適合認證文件副本的人士：

- A practicing solicitor or CPA, a current full member of the Hong Kong Institute of Chartered Secretaries 執業律師或會計師、香港特許秘書公會現任會員
- An authorized institution, a licensed corporation, an authorized insurer, an appointed insurance agent or an authorized insurance broker 授權機構、持牌法團、授權保險公司、獲委任的保險代理人或授權保險經紀人
- A member of the judiciary in an equivalent jurisdiction 同等司法管轄權的司法機構人員
- An officer of an embassy, consulate 大使館或領事館官員
- A Justice of the Peace 太平紳士

[2] Acceptable residential address proof 可接受的住址證明：

- Bills issued by utility companies 公用事業公司發出的賬單
- Bills issued by telecommunication companies for landline phone service. 電訊公司就固線電話服務發出的賬單
- Correspondence issued by government departments or agencies 政府部門或代理機構發出的文件
- Statements issued by licensed corporations (e.g. securities brokers) or authorized institution (i.e. bank) and insurance companies 持牌法團（例如：證券經紀公司）或認可機構（例如：銀行）及保險公司發出之結單

[3] Please download the form from our website at www.manulife.com.hk or contact your Manulife Financial Planning Manager or call (852) 2108 1110. 請在本公司網站www.manulife.com.hk下載該表格，亦可聯絡您的宏利理財策劃經理或致電(852) 2108 1110 索取。

Manulife Investment Management
宏利投資管理

MANULIFE HONG KONG SERIES 宏利香港系列
MANULIFE DIRECT SAVER – DIRECT DEBIT AUTHORIZATION FORM 宏利投資儲蓄計劃－直接付款授權書表格

NOTE 註：

1. Please complete in English BLOCK LETTERS. For assistance, please call: (852) 2108 1110. 請以英文正楷填寫本表格。如需協助，請致電：(852) 2108 1110。
2. Please return this completed form to **Manulife Investment Management (Hong Kong) Limited**, 23/F., Manulife Tower, One Bay East, 83 Hoi Bun Road, Kwun Tong, Kowloon, Hong Kong. Instruction by facsimile is not accepted. 請將已填妥的表格交回**宏利投資管理（香港）有限公司**，香港九龍觀塘海濱道83號宏利大樓23樓。恕不接受傳真指示。
3. Please ensure that you sign the form in the usual way that you would sign on your Bank Account. 請確保閣下在此授權書內之簽名，與銀行帳戶簽名完全相同。

UNITHOLDER ACCOUNT INFORMATION 單位持有人帳戶資料

Unitholder Account Number (For existing account only) 單位持有人帳戶編號（只適用於現有帳戶）：____________________

Account Holder's Full Name(s) 帳戶持有人全名

1. ____________________ 2. ____________________

HKID/Passport Number 香港身份證/護照號碼

1. ____________________ 2. ____________________

Are you an existing investor of Manulife Direct Saver? 閣下現時是否宏利投資儲蓄計劃的投資者？ Yes 是 ☐ No 否 ☐

Are you changing the direct debit bank account? 閣下是否更改直接付款銀行帳戶？ Yes 是 ☐ No 否 ☐

DIRECT DEBIT AUTHORIZATION 直接付款授權書

Name of party to be credited (The Beneficiary) 收款之一方（受益人）	Bank No. 銀行編號	Branch No. 分行編號	Account No. to be credited 帳戶號碼
BOCI-Prudential Trustee Limited-Manulife HK Series	0 1 2	8 7 5	1 2 4 6 0 7 0 8

I/We hereby authorise my/our bank, named below, to effect transfers from my/our account to that of the above-named beneficiary in accordance with such instructions as my/our Bank may receive from the beneficiary from time to time.

I/We agree that my/our Bank shall not be obliged to ascertain whether or not notice of any such transfer has been given to me/us.

I/We jointly and severally accept full responsibility for any overdraft (or increase in existing overdraft) on my/our account which may arise as a result of any such transfers.

I/We agree that should there be insufficient funds in my/our account to meet any transfer hereby authorised, my/our Bank shall be entitled, in its discretion, not to effect such transfer, in which event, the Bank may make the usual charge and cancel this authorization at any time on one week's written notice.

This authorization shall have effect until further written notice.

I/We agree that any notice of cancellation or variation of this authorization which I/we may give to my/our Bank shall be given at least seven working days prior to the date on which such cancellation/variation is to take effect.

本人/吾等現授權本人/吾等之下述銀行，（根據受益人不時給予本人/吾等銀行之指示）自本人/吾等之帳戶內轉賬予上述受益人。

本人/吾等同意本人/吾等之銀行毋須證實該等轉賬通知是否已交予本人/吾等。

如因該等轉賬而令本人/吾等之帳戶出現透支（或令現時之透支增加），本人/吾等願共同及各別承擔全部責任。

本人/吾等同意如本人/吾等之帳戶並無足夠款項支付該等授權轉賬，本人/吾等之銀行有權不予轉賬，且銀行可收取慣常之收費，並可隨時以一星期書面通知取消本授權書。

本授權書將繼續生效直至另行書面通知為止。

本人/吾等同意，本人/吾等取消或更改本授權書之任何通知，須於取消/更改生效日期最少七個工作天之前交予本人/吾等之銀行。

My/Our Bank Name and Branch 本人/吾等之銀行及分行名稱	Bank No. 銀行編號	Branch No. 分行編號	My/Our Account No. 帳戶號碼

My/Our Name as recorded on Statement/Passbook (For a joint unitholder account, please ensure this bank account is held in the same names of all the joint unitholders.)
本人/吾等在結單或存摺上之名稱（如屬聯名單位持有人帳戶，請確保此銀行戶口為全體聯名單位持有人）

Telephone No. 電話號碼

My/Our Address as recorded on Statement/Passbook
本人/吾等在結單或存摺上之地址

I/We confirm that my/our signature(s) on this application form is/are the same as that/those for the operation of my/our Savings/Current Account to be debited for the transfer.
本人/吾等確認本人/吾等在此表格上的簽署與本人/吾等用以轉賬的帳戶的簽署相同。

My/Our Signature(s) (Note 3)
本人/吾等之簽名（註3）

X

ID number of holder(s) 帳戶持有人的身份證件號碼 (All holders should complete) （所有持有人均須填寫）
1. ____________________
2. ____________________

ID Type* 身份證件類別*
1. ____________________
2. ____________________

*I = HKID 香港身份證　P = Passport 護照　B = Business Registration 商業登記證

C = Certificate of Incorporation 公司註冊證書　X = Others (please specify) 其他，請註明

Date (DD/MM/YY)
日期

Debtor's Reference (for office use)
債務人參考（中國銀行（香港）填寫）

For Bank Use Only 銀行專用	Signature Verified