

**Note 注意：**

- Before completing this form, please refer to the "Notes and Instructions" on page 8. 填寫本表格前，請參閱第8頁的「備註及指引」。
- For definitions relating to Foreign Account Tax Compliance Act ("FATCA") and Organization for Economic Cooperation and Development Common Reporting Standard ("CRS") 欲了解有關《外國帳戶稅務遵從法》及經濟合作與發展組織《共同申報準則》的定義：please visit [www.irs.gov](http://www.irs.gov) and [www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance) respectively. 請分別瀏覽 [www.irs.gov](http://www.irs.gov) 及 [www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance)。

**1. Manulife Fund Account 宏利基金帳戶**

"Manulife Fund" is defined in Manulife Asset Management (Hong Kong) Limited's client agreement and specified by the applicant(s) below.

宏利基金之定義見於宏利資產管理（香港）有限公司客戶協議，並由申請人於下方指明。

Please ✓ the appropriate box(es) below for opening account with Manulife Fund.

開設宏利基金帳戶，請在適當的方格填上 ✓ 號。

<input type="checkbox"/> <b>Manulife Advanced Fund SPC</b> 宏利盈進基金 SPC - China A Segregated Portfolio and/or Renminbi Bond Segregated Portfolio ("QFII Funds") 中國 A 股獨立資產組合及／或人民幣債券獨立資產組合（「QFII 基金」）	<input type="checkbox"/> <b>Manulife Advanced Fund SPC</b> 宏利盈進基金 SPC - Fund(s) other than QFII Funds ("Non-QFII Funds") QFII 基金以外的基金（「非 QFII 基金」）
<input type="checkbox"/> <b>Manulife Global Fund</b> 宏利環球基金	<input type="checkbox"/> <b>Manulife Hong Kong Series</b> 宏利香港系列

**2. Applicant Information 申請人資料**

	First Holder 第一持有人	Second Holder 第二持有人 (applicable to joint account only 只適用於聯名帳戶)
<b>Name in English (as shown on identity card/passport)</b> 英文姓名（按身份證／護照所示）	<input type="checkbox"/> Mr 先生 <input type="checkbox"/> Mrs 太太 <input type="checkbox"/> Ms 女士 (Surname 姓)                      (Given Name 名)	<input type="checkbox"/> Mr 先生 <input type="checkbox"/> Mrs 太太 <input type="checkbox"/> Ms 女士 (Surname 姓)                      (Given Name 名)
<b>Hong Kong Permanent Identity Card/Passport Number</b> 香港永久居民身份證／護照號碼		
<b>Nationality 國籍</b>		
<b>Place of Birth (City, Country)</b> 出生地（城市，國家）		
<b>Date of Birth 出生日期</b>	DD 日 / MM 月 / YYYY 年	DD 日 / MM 月 / YYYY 年
	<b>Please answer the following question in order to confirm your status under the tax laws and regulations of the United States</b> 按照美國的稅務法規，請回答以下問題以確認您的身份：	
<b>Are you a United States citizen/United States resident/United States Permanent Resident Alien (also known as U.S. green card holder)?</b> 您是否美國公民／美國居民／美國的永久外籍居民（即所謂美國綠卡持有人）？	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否	<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
	<b>Permanent Residential Address 永久住址</b>	
<b>Room/Floor/Block/Name of Building/Estate</b> 室／樓／座／大廈／屋苑名稱		
<b>Street Number/Street Name/District</b> 街道號碼／街道名稱／區		
<b>Country 國家</b>	<input type="checkbox"/> Hong Kong 香港 <input type="checkbox"/> Kowloon 九龍 <input type="checkbox"/> New Territories 新界	<input type="checkbox"/> Hong Kong 香港 <input type="checkbox"/> Kowloon 九龍 <input type="checkbox"/> New Territories 新界
<b>Postal/ZIP code 郵遞／郵政編碼</b>		

Applicant Information (Con't) 申請人資料 (續)

	First Holder 第一持有人	Second Holder 第二持有人
	<b>Mailing Address (if different from Permanent Residential Address) 郵寄地址 (如與永久住址不同)</b> NOTE: All correspondences will only be sent to the First Holder 註: 所有信件只寄予第一持有人	
Room/Floor/Block/Name of Building/Estate 室/樓/座/大廈/屋苑名稱		
Street Number/Street Name/District 街道號碼/街道名稱/區		
	<input type="checkbox"/> Hong Kong 香港 <input type="checkbox"/> Kowloon 九龍 <input type="checkbox"/> New Territories 新界 <input type="checkbox"/> Hong Kong 香港 <input type="checkbox"/> Kowloon 九龍 <input type="checkbox"/> New Territories 新界	
Country 國家		
Postal/ZIP code 郵遞/郵政編碼		
Relationship between the First Holder and Second Holder (and Third Holder and Fourth Holder, if applicable), e.g. spouse, father and son 第一持有人與第二持有人 (以及與第三持有人及第四持有人, 如適用) 的關係, 如配偶、父子:	_____	
Email Address 電郵地址		
	<b>Contact Numbers 聯絡號碼</b>	
Residential 住宅	Country Name 國家名稱	(   ) Country Code 國家編號 Number 號碼
Office 辦事處	Country Name 國家名稱	(   ) Country Code 國家編號 Number 號碼
Mobile 流動電話	Country Name 國家名稱	(   ) Country Code 國家編號 Number 號碼
Fax 傳真	Country Name 國家名稱	(   ) Country Code 國家編號 Number 號碼

The above contact information applies to and updates that on record for all the existing products or services in Hong Kong and Macau provided to you by all companies within the Manulife Group ("Manulife Group") and companies that provide trustee or custodian services to Manulife Group. 您所提供的上述聯絡資料適用於宏利集團旗下所有公司 (「宏利集團」) 及為宏利集團提供信託或託管服務的公司於香港及澳門向您提供的現有產品或服務, 以及更新有關資料的記錄。

- I/We confirm that the address(es) above applie(s) only to the account(s) being applied by me/us.  
本人/吾等確認以上地址只適用於本人/吾等現正申請的帳戶。  
If you are a member of any provident fund scheme(s) administered by Manulife, the address provided above will become the registered residential address under the scheme(s) and supersede any residential address(es) previously registered under the scheme(s), unless you ✓ the box above.  
若您是宏利管理的公積金計劃成員, 除非已✓選以上的方格, 否則上述地址將作為您於宏利公積金計劃內的登記住宅地址, 並取代以往於計劃內的所有登記住宅地址。

Instructions by Facsimile 以傳真發出指示

- I/We request to place my/our subsequent dealing instructions by facsimile. (Please refer to the "Notes and Instructions" for details)  
本人/吾等要求用傳真發出本人/吾等隨後的交易指示。(詳情請參閱「備註及指引」)

**Applicant Information (Con't) 申請人資料 (續)**

**Dividend/Distribution Option 股息/分派選擇**

This section is NOT applicable to QFII Funds. Dividends/distributions will be reinvested if this section is not completed. Please ✓ only the appropriate box(es). 此欄不適用於QFII基金。若不填寫此欄，股息/分派將用作再投資。請只在合適的方格填上✓號。

- Reinvestments 再投資
- Payments by cheque in the currency of denomination of the relevant class (applicable to all classes of Manulife Fund) 以有關類別的計價貨幣支票付款 (適用於宏利基金所有類別)
- Payments by cheque in (applicable to all classes of Manulife Global Fund) 用以下貨幣支票付款 (適用於宏利環球基金所有類別)
  - USD 美元
  - HKD 港元
- Payments in the currency of denomination of the relevant class directly to the bank account as follows (applicable to all classes of Manulife Hong Kong Series) 以有關類別的計價貨幣付款並直接存入以下銀行帳戶 (適用於宏利香港系列所有類別)

<b>Bank Name 銀行名稱</b>	
<b>Bank Address 銀行地址</b>	
<b>Bank Account Holder(s)' Name(s) (The bank account holder(s) must be the same as the account holder(s)) 銀行帳戶持有人姓名 (銀行帳戶持有人必須與帳戶持有人相同)</b>	
<b>Bank Account Number (including Bank Code, Branch Code and Account Number) 銀行帳戶號碼 (包括銀行編號、分行編號及帳戶號碼)</b>	For the bank account opened in Hong Kong 在香港開立的銀行帳戶： Bank No.      Branch No.      Account No. 銀行編號      分行編號      帳戶號碼 <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Bank Account Currency, please ✓ as appropriate 銀行帳戶貨幣，請✓選適合的貨幣</b>	<input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元 <input type="checkbox"/> RMB 人民幣 <input type="checkbox"/> Multicurrency 綜合貨幣
<b>Correspondent Bank Name and SWIFT Code (For an overseas bank only) 代理銀行名稱及 SWIFT 編碼 (只適用於海外銀行)</b>	

**Note 註：**

- Please provide details of the bank account in the name(s) of the account holder(s) to which cash distributions can be paid directly to the designated bank account. For a joint account, please ensure the designated bank account is held in the same names of all joint account holders.  
請提供以帳戶持有人名義開立的銀行帳戶資料，以作直接存入現金分派至指定銀行帳戶。如屬聯名帳戶，請確保其指定的銀行帳戶為全體聯名帳戶持有人共同持有。
- The designated bank account should enable the account holder(s) to receive cash distributions, including those in the currency of denomination of any new class of units subsequently added to the account with Manulife Hong Kong Series. 帳戶持有人應確保其指定的銀行帳戶可收取現金分派，包括其後在宏利香港系列帳戶加入的任何新單位類別的計價貨幣的現金分派。
- Please attach a copy of the latest account statement addressee section or passbook signature page which confirms the bank account information provided in the table above.  
請附上最近之銀行結單收件人部分或存摺簽名頁影印本以確認上表所提供的銀行帳戶資料。
- Any bank charges associated with the payment of cash dividends/distributions will be borne by the account holder(s).  
與支付現金股息/分派有關的任何銀行手續費將由帳戶持有人承擔。
- Payment in RMB is restricted to the class denominated in RMB only.  
人民幣支付只適用於以人民幣為計價貨幣的類別。

PLEASE DO NOT DETACH 請勿撕去

Applicant Information (Con't) 申請人資料 (續)

Designated Bank Account for Receiving Redemption Proceeds 收取贖回款項的指定銀行帳戶

I/We request all redemption proceeds in respect of all classes of Manulife Fund to be paid by telegraphic transfer or bank transfer directly to my/our bank account as follows, unless I/we specify another bank account in the Redemption Request Form.  
本人/吾等要求宏利基金所有類別的所有贖回款項，透過電匯或銀行轉帳直接存入本人/吾等以下的銀行帳戶，除非本人/吾等在贖回表格填寫其他銀行帳戶。

<b>Bank Name 銀行名稱</b>							
<b>Bank Address 銀行地址</b>							
<b>Bank Account Holder(s)' Name(s) (The bank account holder(s) must be the same as the account holder(s)) 銀行帳戶持有人姓名 (銀行帳戶持有人必須與帳戶持有人相同)</b>							
<b>Bank Account Number (including Bank Code, Branch Code and Account Number) 銀行帳戶號碼 (包括銀行編號、分行編號及帳戶號碼)</b>	<p>For the bank account opened in Hong Kong 在香港開立的銀行帳戶：</p> <table> <tr> <td>Bank No. 銀行編號</td> <td>Branch No. 分行編號</td> <td>Account No. 帳戶號碼</td> </tr> <tr> <td><input type="text"/></td> <td><input type="text"/></td> <td><input type="text"/></td> </tr> </table> <p>For the bank account opened outside Hong Kong 在香港以外開立的銀行帳戶：</p>	Bank No. 銀行編號	Branch No. 分行編號	Account No. 帳戶號碼	<input type="text"/>	<input type="text"/>	<input type="text"/>
Bank No. 銀行編號	Branch No. 分行編號	Account No. 帳戶號碼					
<input type="text"/>	<input type="text"/>	<input type="text"/>					
<b>Bank Account Currency, please ✓ as appropriate 銀行帳戶貨幣，請✓選適合的貨幣</b>	<input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元 <input type="checkbox"/> RMB 人民幣 <input type="checkbox"/> AUD 澳元 <input type="checkbox"/> CAD 加元 <input type="checkbox"/> GBP 英鎊 <input type="checkbox"/> CHF 瑞士法郎 <input type="checkbox"/> EUR 歐元 <input type="checkbox"/> JPY 日圓 <input type="checkbox"/> SGD 新加坡元						
<b>Correspondent Bank Name and SWIFT Code (For an overseas bank only) 代理銀行名稱及SWIFT編碼 (只適用於海外銀行)</b>							

Note 註：

- Manulife Asset Management (Hong Kong) Limited accepts designated bank accounts set up only in jurisdictions that are current members of the Financial Action Task Force ("FATF") as listed in [www.fatf-gafi.org/about/membersandobservers](http://www.fatf-gafi.org/about/membersandobservers).  
宏利資產管理(香港)有限公司只接受在打擊清洗黑錢財務行動特別組織的現時成員之司法管轄區內開設的指定銀行帳戶，其成員名單列於 [www.fatf-gafi.org/about/membersandobservers](http://www.fatf-gafi.org/about/membersandobservers)。
- Manulife Funds Online Platform after redemption requests acceptance will arrange redemption proceeds deposited only to the relevant designated bank accounts. The option of redemption proceeds payment by cheque or by bank draft is available only in Redemption Request Form.  
在宏利基金網上平台的贖回申請被接受後，贖回款項只會存入相關的指定銀行帳戶。以支票或匯票支付贖回款項的選項只在贖回表格內提供。
- Please provide details of the bank account in the name(s) of the account holder(s) to which redemption proceeds can be paid. For a joint account, please ensure the designated bank account is held in the same names of all joint account holders.  
請提供以帳戶持有人名義開立的銀行帳戶資料，以作收取贖回款項之用。如屬聯名帳戶，請確保其指定的銀行帳戶為全體聯名帳戶持有人共同持有。
- The designated bank account should enable the account holder(s) to receive redemption proceeds in accordance with the duly completed Redemption Request Form. Otherwise, the settlement may be delayed or the payment is in another currency as determined by the receiving bank.  
帳戶持有人應確保其指定的銀行帳戶根據填妥的贖回表格收取贖回款項。否則可能導致結算延遲，或由收款銀行決定以其他貨幣付款。
- Please attach a copy of the latest account statement addressee section or passbook signature page which confirms the bank account information provided in the table above. 請附上最近之銀行結單收件人部分或存摺簽名頁影印本以確認上表所提供的銀行帳戶資料。
- Any bank charges associated with the payment of redemption proceeds will be borne by the account holder(s).  
與支付贖回款項有關的任何銀行手續費將由帳戶持有人承擔。
- Payment in RMB is restricted to the class denominated in RMB only.  
人民幣支付只適用於以人民幣為計價貨幣的類別。
- Manulife Advanced Fund SPC does not arrange any redemption proceeds to be paid in SGD.  
宏利盈進基金SPC不會安排以新加坡元支付任何贖回款項。

3. Tax Residence(s) 納稅居住地

Please indicate all jurisdictions in which you are a resident for tax purposes and the associated Tax Identification Numbers (TINs) for each tax residence. 請註明您就稅務目的而言屬居民的所有司法管轄區及各納稅居住地的相關納稅識別號碼。

Declaration of Tax Residence 納稅居住地聲明

Please indicate your country of tax residence, (if resident in more than one country please detail all countries of tax residence and associated TINs). For joint account, please complete the below table for each of the joint account holder.

請註明您的納稅居住國 (如居住地超過一個國家，請註明所有納稅居住國及其相關的納稅識別號碼)。就聯名帳戶，請每位聯名帳戶持有人填寫以下列表。

Note 註：

- Provision of a TIN is required unless you are a tax resident in a jurisdiction that does not issue a TIN.  
除非您為不設納稅識別號碼司法管轄區的稅務居民，否則必須提供納稅識別號碼。
- If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number, including the check digit in the bracket.  
如帳戶持有人是香港稅務居民，納稅識別號碼是其香港身份證號碼，包括括號內的驗證碼。
- For joint account, please complete the below table for all joint account holders.  
就聯名帳戶，請所有聯名帳戶持有人填寫以下列表。

Country/Jurisdiction of Tax Residence 納稅居住國/司法管轄區	TIN 納稅識別號碼	Please specify if you are First Holder or Second Holder 請註明您是第一持有人或是第二持有人 (applicable to joint account only 只適用於聯名帳戶)
1.		
2.		
3.		
4.		

#### 4. Applicant(s)' Declarations and Signature(s) 申請人聲明及簽署

I/We DECLARE that:-

本人/吾等聲明：-

- a. I/We confirm that the information provided by me/us in this form is true and correct.
- a. 本人/吾等證實本人/吾等於本表格提供的資料真實及正確。
- b. I am/We are over 18 years of age. (Please note that no account can be opened in the name of an individual aged below 18 years old.)
- b. 本人/吾等已年滿十八歲。(凡年齡未滿十八歲的人士，一概不得以其名義開設帳戶。)
- c. • I am/We are not United States Person(s), United States Taxpayer(s), resident(s) of Canada, or entity(ies) organised or incorporated in Canada and/or, as applicant(s) for shares of QFII Funds, Mainland China Person(s) ("Prohibited Person(s)") (applicable to Manulife Advanced Fund SPC);
- c. • 本人/吾等並非美國人士，美國納稅人，加拿大居民，或在加拿大組成或註冊成立的實體，及/或申請QFII基金股份的中國內地人士(「受禁制人士」)(適用於宏利盈進基金SPC)；
- I am/We are not U.S. Person(s) or resident(s) of Canada ("Prohibited Person(s)") (applicable to Manulife Global Fund);
- 本人/吾等並非美國人，亦非加拿大居民(「受禁制人士」)(適用於宏利環球基金)；
- I am/We are not US Person(s) or resident(s) of, or entity(ies) organised or incorporated in, Canada ("Prohibited Person(s)") (applicable to Manulife Hong Kong Series);
- 本人/吾等並非美國人士，亦非加拿大居民或在加拿大組成或註冊成立的實體(「受禁制人士」)(適用於宏利香港系列)；
- I am/We are the Beneficial Owner(s) of the shares/units being applied for by me/us, and I am/we are not holding shares/units or otherwise acting on behalf of any Prohibited Person;
- 本人/吾等乃所申請的股份/單位之實益擁有人。本人/吾等並無代表任何受禁制人士持有股份/單位或以任何方式行事；
- I/We agree to promptly notify Manulife Fund and Manulife Asset Management (Hong Kong) Limited if I/we become a Prohibited Person or hold shares/units or otherwise act on behalf of a Prohibited Person;
- 本人/吾等同意一旦本人/吾等成為受禁制人士或代表受禁制人士持有股份/單位或以任何方式行事，當即立刻通知宏利基金及宏利資產管理(香港)有限公司；
- I/We understand that Manulife Fund has the right to compulsorily redeem any shares/units held by a Prohibited Person in accordance with the applicable provision(s) of the Prospectus concerned.
- 本人/吾等明白宏利基金有權根據有關售股章程內的適用條文強制贖回受禁制人士持有的任何股份/單位。
- d. I/We have received and read and understood the latest Hong Kong Offering Document (including the Prospectus, the Hong Kong Covering Documents (if applicable) and Product Key Facts), and latest published financial reports of Manulife Fund, and undertake to be bound by the terms of Manulife Fund's Hong Kong Offering Document and its Articles of Association/Articles of Incorporation/Trust Deed as amended from time to time.
- d. 本人/吾等已收訖、閱畢及知悉宏利基金最新刊發的香港發售文件(包括售股章程、香港說明文件(如適用)及產品資料概要)及最新公布之財務報告，並且接受不時修訂之宏利基金香港發售文件及公司組織章程/信託契約之條款約束。
- e. I/We acknowledge and understand that the information contained in this form and any reportable account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which I/we may be tax resident pursuant to intergovernmental agreements to exchange financial account information.
- e. 本人/吾等確認及明白本表格所載的資料及任何應申報帳戶的資料可能會根據關於與帳戶所在國家/司法管轄區交換財務帳戶資訊之政府間協議申報予本人/吾等所在國家/司法管轄區的稅務機關，並與另一個國家/司法管轄區或若干國家司法管轄區稅務機關交換。
- f. I/We have read and understood the provisions of the Prospectus of Manulife Fund in relation to the U.S. Foreign Account Tax Compliance Act ("FATCA") and the gathering, storage, use, processing, disclosure and reporting of information provided by me/us in connection with the satisfaction of any governmental and/or regulatory requirements and/or other legal obligations relating to, but not limited to, information sharing and tax reporting and withholding of payments due to account holders, including pursuant to FATCA and Organization for Economic Cooperation and Development Common Reporting Standard ("CRS"), that which may be applicable to Manulife Fund and/or any Fund from time to time, and agree and undertake to be bound by such terms (as they may be amended from time to time) and to perform all obligations thereunder.
- f. 本人/吾等已閱畢並明白宏利基金售股章程內有關《外國帳戶稅務遵從法》(「FATCA」)的條款，以及關於資料之收集、儲存、使用、處理、披露及申報的條款，而該等資料乃由本人/吾等所提供，旨在遵從任何政府及/或監管規定，及/或履行有關但不限於資料分享、稅務申報、預扣應付帳戶持有人款項之其他法律責任，包括根據FATCA及經濟合作與發展組織《共同申報準則》(「CRS」)而不時適用於宏利基金及/或其任何基金之規定，並同意及承諾受該等(不時修訂之)條款所約束以及履行一切相關責任。
- g. I/We understand that assets received or held by Manulife Fund are subject to the applicable laws and regulations of relevant overseas jurisdictions which may be different from the Securities and Futures Ordinance (Cap 571, Laws of Hong Kong) and the rules made thereunder. Consequently, such assets may not enjoy the same protection as that conferred on client assets received or held in Hong Kong.
- g. 本人/吾等明白宏利基金所收取或持有的資產皆受制於相關海外司法管轄區的適用法律及規例，而該等法律及規例或有別於《證券及期貨條例》(香港法例第571章)及其下制定之規則。此等資產因此或不享有於香港收取或持有的客戶資產所享有的相同保障。



- h. I/We understand that I/we may access my/our personal information and request rectification of any inaccurate, incomplete or incorrect information.

By signing this Account Opening Form and subscribing for shares/units, I/we acknowledge, consent to and instruct (i) the gathering, retention and processing of any personal data provided in this form (as such information may be updated from time to time) and/or any other information provided by me/us to Manulife Fund and/or any of its respective delegates and/or any distributor of shares/units and/or any other entity duly designated by Manulife Fund, its administrator and agents for the purposes of maintaining a register of investors for subscription and redemption of shares/units, and (ii) the transfer of such personal data or information to administrative support providers and processors who may or may not be part of Citigroup (for Manulife Advanced Fund SPC and Manulife Global Fund) or BOCI-Prudential Trustee Limited (for Manulife Hong Kong Series), located outside Hong Kong, where the transfer is necessary for the maintenance of records or administration of the personal data or information.

- i. I/We agree to inform Manulife Fund and Manulife Asset Management (Hong Kong) Limited within 30 days in writing of any change in (i) the personal particulars of any applicant(s) in this form; and/or (ii) other information provided by me/us in this form or any other document(s).

- j. I/We understand that the provision of information in this form is voluntary for the purposes of my/our current application for account opening with Manulife Fund. If I/we fail to provide the information, my/our current application will not be accepted.

- k. I/We acknowledge and agree that the information collected from me/us in this form, together with any subsequent alterations or supplements to it, is being collected on behalf of Manulife Asset Management (Hong Kong) Limited. I/we further acknowledge and confirm that I/we have received and read the Personal Information Collection Statement attached as Schedule I to the Client Agreement entered into between me/us and Manulife Asset Management (Hong Kong) Limited (the "Client Agreement") (as amended from time to time). I/We understand and agree that the information collected from me/us in this form, together with any subsequent alterations or supplements to it, can be used and/or transferred to any of the transferees in accordance with any of the uses and purposes (including in relation to direct marketing) as described in the Personal Information Collection Statement.

- l. (Applicable to Manulife Global Fund investors only) I/We acknowledge that the Manulife Global Fund has issued a Privacy Notice regarding the collection, recording, adaptation, transfer and other processing and use of personal data by and on behalf of such Manulife Fund, in accordance with the Luxembourg Law of 2 August 2002 on the Protection of Persons with regard to the Processing of Personal Data (as amended), the European Regulation (EU) 2016/679 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation) and any other EU or national legislation which implements or supplements the foregoing. Such Privacy Notice sets out the types of personal data that may be processed, to whom such personal data may relate and how it may be sourced, and the relevant parties who may process or receive such personal data and for what purposes, and otherwise explains certain policies and practices that have been put in place to ensure the privacy of such personal data. The Privacy Notice further describes the rights of Shareholders to request: (i) access to their personal data, (ii) the rectification or erasure of their personal data, (iii) the restriction of the processing of their personal data, and (iv) the transfer of their personal data to third parties; as well as the right of Shareholders to lodge a complaint in relation to data protection related issues with the relevant supervisory authority, the right to withdraw their consent on the processing of personal data and the right to object to the processing of their personal data.

I/We further acknowledge that I/we may access the Privacy Notice at any time under "Privacy Notice" on the website [www.manulifeglobalfund.com](http://www.manulifeglobalfund.com) and that I/we have read and understood such Privacy Notice.

I/We understand that I/we will be duly informed by the Manulife Global Fund of any change in relation to its Privacy Notice at least one month prior to the implementation of such change.

- h. 本人/吾等明白本人/吾等可索閱本人/吾等之個人資料，以及可要求更改任何失準、不完整或不正確的資料。

本人/吾等簽署此開戶表格及認購股份/單位，即表示確認、同意及指示(i) 宏利基金及/或其任何獲轉授人及/或任何股份/單位分銷商及/或任何由宏利基金、其執行人及代理人合法指定的其他實體收集、保留及處理任何於此表格上提供的個人資料(而此等資料將不時更新)，以作備存投資者名冊之用途，從而處理股份/單位認購或贖回，以及(ii) 把此等個人資料傳送給或隸屬或不隸屬花旗集團(用於宏利盈進基金SPC及宏利環球基金)或中銀國際英國保誠信託有限公司(用於宏利香港系列)、處於香港以外的行政支援服務商及處理人，而因備存紀錄或管理個人資料所需，有必要傳送該等資料或訊息。

- i. 本人/吾等茲同意(i) 本人/吾等在表格內所提及任何申請人的個人資料及/或(ii) 本人/吾等於本表格或任何其他文件提供的其他資料如有任何變動，本人/吾等當於三十天內以書面通知宏利基金及宏利資產管理(香港)有限公司。

- j. 本人/吾等明白於本表格上提供之資料，作為本人/吾等在宏利基金的開戶申請乃屬自願性質。倘本人/吾等未能提供有關資料，本人/吾等是次之申請將不獲接納。

- k. 本人/吾等確認及同意從本人/吾等在本表格上收集的資料及日後之任何改動或補充，乃代表宏利資產管理(香港)有限公司所收集。本人/吾等進一步確認及確定，本人/吾等已收訖及閱畢與宏利資產管理(香港)有限公司簽定的客戶協議中，附表一所載的個人資料收集聲明("客戶協議")(不時作出修訂)。本人/吾等理解及同意從本人/吾等在本表格上收集的資料及日後之任何改動或補充，可根據個人資料收集聲明內所描述的使用及目的(包括直接促銷)被使用及/或轉交予任何受讓人。

- l. (只適用於宏利環球基金的投資者) 本人/吾等確認宏利環球基金已根據盧森堡個人資料保障法例、歐洲聯盟("歐盟")就處理個人資料及有關資料自由流通的個人保障之《通用數據保障條例》及任何其他歐盟或國家相關法例發出其私隱通知以說明宏利環球基金或以其名義對個人資料進行收集、記錄、改編、轉移、其他處理方式及使用。該私隱通知列明有可能被處理的個人資料之種類、相關的個人資料、如何收集個人資料、有可能處理或收取個人資料的有關人士、處理資料的目的及闡述某些確保個人資料私隱的政策及措施。該私隱通知進一步描述投資者有權利要求：(i) 查閱其個人資料，(ii) 修改或刪除其個人資料，(iii) 限制處理其個人資料及(iv) 資料轉移至第三方。投資者亦擁有向相關資料保障機構投訴涉及資料保障事宜的權利、撤回其同意的權利及反對處理的權利。

本人/吾等進一步確認本人/吾等可隨時於 [www.manulifeglobalfund.com](http://www.manulifeglobalfund.com) 內的「私隱通知」索閱宏利環球基金之私隱通知，本人/吾等已閱讀及明白該私隱通知。

本人/吾等明白如宏利環球基金之私隱通知有任何改變，宏利環球基金須於該等變更生效前至少一個月向本人/吾等發出通知。

**Special Authorization by Joint Account Holders 聯名帳戶持有人特別授權**

We agree that any one of us may give instructions to Manulife Fund and Manulife Asset Management (Hong Kong) Limited.  
 吾等同意吾等任何一位持有人可向宏利基金及宏利資產管理（香港）有限公司發出指示。

All joint account holders must sign on this form. 所有聯名帳戶持有人均須簽署此表格。

Note: Shares/Units will be issued in non-certificated registered form. 備註：股份／單位會以記名無證書形式發出。

First Holder 第一持有人	Second Holder 第二持有人
Signature 簽署	Signature 簽署
<b>X</b>	<b>X</b>
DD日/ MM月/ YYYY年	DD日/ MM月/ YYYY年

I confirm that the applicant(s) has/have signed this form in my presence and the attached copy(ies) of the Hong Kong Permanent Identity Card and/or photo page of the passport is/are true and complete copy(ies) of the original(s).  
 本人確認申請人已於本人見證下簽署本表格，而隨附之香港永久居民身份證副本及／或護照照片頁副本為其正本之真實及完整副本。

Signature of witness 見證人簽署：

Name of witness 見證人姓名：

**X**  
 -----  
 DD日/ MM月/ YYYY年

(Witness' SFC Licensed Person CE Number/Other Licensed Person Number):

( 見證人之證監會持牌人／其他持牌人編號) : -----

**5. SFC Licensed Person/Other Licensed Person\* Information (if applicable)**  
**證監會持牌人／其他持牌人\* 資料 (如適用)**

Manulife Agent Code 宏利代理人編號：-----

Name of SFC Licensed Person/Other Licensed Person  
 證監會持牌人／其他持牌人姓名：

SFC Licensed Person CE Number/Other Licensed Person Number  
 證監會持牌人／其他持牌人編號：

\* Please specify the type of licence 請註明牌照類別：-----

**Third Party Certification 第三者認證**

(This certification must be completed where the applicant(s) do/does not sign this form in the presence of a staff member or Manulife Investment Funds Intermediary of Manulife Asset Management (Hong Kong) Limited. Where this applies, a suitable certifier# must (a) witness the signature(s) in this form by the applicant(s); (b) certify respective copies of the identity documents and other required documents of the applicant(s) in accordance with the "Documents Required for Initial Application" section of this form against production of the originals; and (c) complete the certification below. The certified copies must be returned with this form. Self-certification by the applicant(s) is insufficient.

若申請人並非在宏利資產管理（香港）有限公司之職員或宏利投資基金中介人見證下簽署本表格，則須填妥本認證。在此情況下，一位適合的證明人# 必須(a) 見證申請人簽署本表格；(b) 在申請人出示本表格「首次申請所需文件」部份所指定申請人之身份證明文件及其他所需文件之正本時，認證有關文件之副本為認證副本；及(c) 填妥本認證。有關文件之認證副本必須與本表格一同遞交。申請人自行認證不足以符合此要求。)

# Please refer to the point 4 of the "Notes and Instructions". 請參考「備註及指引」的第4項。

I hereby certify that the applicant(s) has/have signed this form in my presence and that I have seen the original identity document(s) and other required document(s) of the applicant(s), certified true copies of which are required to be, and which are, enclosed with this form.

本人證明申請人在本人見證下簽署本表格。本人並已親自檢視申請人之身份證明文件及其他所需文件之正本，證明所須及現時隨附於本表格之文件副本為經認證真實副本。

Signature or Company Stamp of the Certifier  
 證明人之簽署或公司印章

Name 姓名

**X**  
 -----

Position/Registration Status 職位／註冊狀況

Certified this on 謹於本日期作此證明

DD日/MM月/YYYY年

For office use and checker's sign off  
 本公司專用，核實者簽名

For retail investors only  
 只供零售投資者

PLEASE DO NOT DETACH 請勿撕去

## Notes and Instructions 備註及指引

### 1. Facsimile Instruction 發出傳真指示

- Manulife Advanced Fund SPC 宏利盈進基金 SPC: If the box "Instructions by Facsimile" is ticked, account holders may give instructions of redemption, switching and subsequent subscription by facsimile, at their own risk, without the need to send the originals. Otherwise, any redemption, switching and subsequent subscription instruction must be received in original. 若已剔選「以傳真發出指示」, 帳戶持有人可以傳真發出贖回、轉換及其後認購的指示, 而毋須提交正本, 惟須自行承擔有關風險; 否則, 任何贖回、轉換及其後認購的指示必須提交正本。
- Manulife Global Fund 宏利環球基金: If the box "Instructions by Facsimile" is ticked, account holders may give instructions of redemption, switching and Manulife Direct Saver update or cancellation by facsimile, at their own risk, without the need to send the originals. Otherwise, the originals must be sent to Manulife Asset Management (Hong Kong) Limited right after the facsimile instruction. For subsequent subscription, account holders may give instruction by facsimile, at their own risk, without the need to send the original. 若已剔選「以傳真發出指示」, 帳戶持有人可以傳真發出贖回、轉換及更新或取消宏利投資儲蓄計劃的指示, 而毋須提交正本, 惟須自行承擔有關風險; 否則, 必須在發出傳真指示後, 向宏利資產管理(香港)有限公司提供正本。就隨後認購, 帳戶持有人可以傳真提交指示, 而毋須正本, 惟須自行承擔有關風險。
- Manulife Hong Kong Series 宏利香港系列: If the box "Instructions by Facsimile" is ticked, account holders may give instructions of redemption and switching by facsimile, at their own risk, without the need to send the originals. Otherwise, the originals must be sent to Manulife Asset Management (Hong Kong) Limited right after the facsimile instruction. For subsequent subscription and Manulife Direct Saver update or cancellation, account holders may give instruction by facsimile, at their own risk, without the need to send the original. 若已剔選「以傳真發出指示」, 帳戶持有人可以傳真發出贖回及轉換的指示, 而毋須提交正本, 惟須自行承擔有關風險; 否則, 必須在發出傳真指示後, 向宏利資產管理(香港)有限公司提供正本。就其後認購及更新或取消宏利投資儲蓄計劃, 帳戶持有人可以傳真提交指示, 而毋須正本, 惟須自行承擔有關風險。
- Please use the prescribed forms available on website. 請使用網站提供之指定表格發出指示: [www.manulife.com.hk](http://www.manulife.com.hk)
- No responsibility will be accepted by Manulife Fund or Manulife Asset Management (Hong Kong) Limited for any loss arising from applications sent by facsimile to, but not received by, Manulife Fund or Manulife Asset Management (Hong Kong) Limited. Manulife Fund may, in its absolute discretion, refuse to act upon any facsimile instructions purportedly given by the account holder or on the account holder's behalf and may require original written instructions instead. Manulife Fund is not obliged to verify the authority or identity of the person giving such instructions as long as it in good faith believes that person to be the account holder or someone authorized by the account holder. 宏利基金或宏利資產管理(香港)有限公司均不就以傳真發出而宏利基金或宏利資產管理(香港)有限公司未收到之申請引致之任何損失承擔任何責任。宏利基金可全權酌情決定拒絕按照聲稱由帳戶持有人或代表帳戶持有人發出之任何傳真指示行事, 並可要求出示原文書面指示。只要宏利基金真誠地相信發出該等指示者為帳戶持有人或獲帳戶持有人授權之人士, 則並無義務審核該等人士的授權或身份。
- Please refer to the Offering Documents of relevant Manulife Fund for details of the dealing procedures. 交易程序詳情請參閱有關宏利基金發售文件。

### 2. Dividend/Distribution Option 股息/分派選擇

- The Dividend/Distribution Option selected in this form or last submitted Change of Record Form shall apply to future dividends/distributions payable in respect of the shares/units of all classes held in the same holder account. 在此表格或最後遞交的記錄更新表格中所作的股息/分派選擇, 會適用在同一帳戶內持有之所有類別的股份/單位。
- No dividend will be declared and distributed in respect of all classes of QFII Funds under the current policy of Manulife Advanced Fund SPC. 按宏利盈進基金 SPC 的現行政策, 所有 QFII 基金類別將不會宣派股息。

### 3. Provision and Update of Information 提供及更新資料

- For regulatory or compliance purposes, including but not limited to anti-money laundering and counter-terrorist financing ("AML/CTF"), FATCA and CRS, account holders are required to notify Manulife Asset Management (Hong Kong) Limited any changes of particulars (e.g. change of address and change of name) and furnish to Manulife Asset Management (Hong Kong) Limited the requisite supporting documents for verification. Account holders may be required to provide further documents (including but not limited to identification documents) to Manulife Asset Management (Hong Kong) Limited from time to time. Failure to notify Manulife Asset Management (Hong Kong) Limited on such changes or failure to provide the requisite documents may result in delays in executing any dealing instructions or redemption requests from the account holders, who have responsibility to bear any possible losses arising from such delays. 基於遵守有關規管或合規要求(包括但不限於防止洗黑錢及防止恐怖份子籌資、《外國帳戶稅務遵從法》及經濟合作與發展組織《共同申報準則》), 帳戶持有人必須就任何資料變動(如地址及名稱變動)通知宏利資產管理(香港)有限公司, 並向宏利資產管理(香港)有限公司提供必要的證明文件以核實資料。帳戶持有人或須不時按要求的向宏利資產管理(香港)有限公司提供額外資料(包括但不限於身份證明文件)。若無法通知宏利資產管理(香港)有限公司任何變動或無法提供必要文件, 可能引致延遲執行帳戶持有人之交易指示或贖回要求, 而帳戶持有人須就有關延遲可能引致的任何損失承擔責任。
- In addition, Manulife Fund is obliged to identify the source of the funds received from a financial institution which is not subject to a compulsory identification procedure equivalent to that required under the laws of Luxembourg for Manulife Advanced Fund SPC and Manulife Global Fund, and laws of Hong Kong for Manulife Hong Kong Series. Dealing may be temporarily suspended until identification of the source of the funds is provided. It is generally accepted that member jurisdictions and organizations of the Financial Action Task Force ("FATF/GAFI") are deemed to have an identification obligation equivalent to that required by the laws of Luxembourg and/or Hong Kong. 除此之外, 對於來自其他並未有如同應用於宏利盈進基金 SPC 及宏利環球基金的盧森堡法律及/或應用於宏利香港系列的香港法律所要求的強制核實身份程序的金融機構的資金, 宏利基金有責任核實資金來源。交易可能會暫緩執行, 直至申請人能供資金來源證明為止。通常受打擊清洗黑錢財務行動特別組織("FATF/GAFI")認可的司法管轄區和組織成員, 皆會被視為會員負責進行如同盧森堡及/或香港法律所要求之核實身份程序。

### 4. Documents Required for Initial Application 首次申請所需文件

- Please return the completed Account Opening Form with the following documents. 請將以下文件連同填妥之開戶表格交回。
  - Certified true copy(ies) of Hong Kong Permanent Identity Card or photo page of the passport of the account applicant(s).  
所有帳戶申請人的香港永久居民身份證或護照照片頁的認證副本。
  - Certified true copy(ies) of the account applicant(s)' residential address proof\* issued within the last 3 months.  
最近三個月內發出的所有帳戶申請人的住址證明\* 的認證副本。
  - If the application is for three or four joint account holders, please provide the required applicant(s)' details in a separate form.  
如有三位或四位聯名帳戶持有人, 請另加表格填寫申請人詳情。
- Manulife Fund reserves the right to reject an application in whole or in part, if the application and required documents are incomplete or invalid. Applicant(s) may also be requested for extra documents before or after account opening if necessary. Applicant(s) is/are recommended to contact Manulife Asset Management (Hong Kong) Limited to ascertain the documents required for account opening. 若申請及所需文件不完整或無效, 宏利基金保留權利拒絕全部或部份申請。如有需要, 申請人亦可能被要求在開戶前或後提供額外文件。建議申請人聯絡宏利資產管理(香港)有限公司以確認所需之開戶文件。
- Suitable certifiers to certify copies of documents include a staff member or Manulife Investment Funds Intermediary of Manulife Asset Management (Hong Kong) Limited, a qualified intermediary (such as a bank branch manager, a certified public accountant, a lawyer, or a notary public), a Justice of the Peace, a member of the judiciary, or an officer of an embassy, consulate or high commission of the country of issue of documentary verification of identity. Self-certification by the applicant is insufficient. 認證文件副本的適合的證明人包括宏利資產管理(香港)有限公司之職員或宏利投資基金中介人、合資格中介人(如銀行分行經理、執業會計師、律師或公證人)、太平紳士、司法人員、或發出身份核實文件的國家的大使館、領事館或高級專員公署的人員。申請人自行認證不足以符合此要求。

\* Acceptable residential address proof: bank statement, utility bill, telecommunications invoice, government notice, account statement from other regulated financial institution or other documents acceptable to Manulife Asset Management (Hong Kong) Limited. 可接受的住址證明: 銀行結單、公用事業帳單、電訊服務帳單、政府通知、其他受規管金融機構發出的戶口結單或獲宏利資產管理(香港)有限公司接納的其他文件。

Please return the completed and signed form to Manulife Asset Management (Hong Kong) Limited  
請把填妥及簽署的表格交回宏利資產管理(香港)有限公司

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